Notification of the National Broadcasting and Telecommunications Commission on Licensing Criteria and Procedures for Providing Radio or Television Broadcasting Facility Services

B.E. 2555 (2012)

Whereas it is expedient to prescribe criteria and procedures for granting a license to the facility provider for the radio or television broadcasting facility services in accordance with the Notification of the National Broadcasting and Telecommunications Commission on Characteristics and Categories of Radio and Television Broadcasting Business and to inform the person who intends to operate such business in advance of requirements on qualifications of applicants, licensing application procedures, criteria for granting a license, relevant documents or information required for licensing, licensing approval procedures, timeframe for considering and approving an application, and scope of permit to operate the business, including any other necessary conditions for radio or television facility business;

By virtue of Section 27 paragraph one (6) and (24) and Section 41 of the Act on Organization to Assign Radio Frequency and to Regulate the Broadcasting and Telecommunications Services B.E. 2553 (2010) being an Act which contains certain provisions in relation to the restriction of rights and liberties of persons, in respect of which Section 29, in conjunction with Section 35, Section 36, Section 41, Section 43, Section 45, Section 46 and Section 47 of the Constitution of the Kingdom of Thailand so permit by virtue of law, and Section 7 and Section 16 of the Broadcasting Business Act B.E. 2551 (2008) being an Act which contains certain provisions in relation to the restriction of rights and liberties of persons, in respect of which Section 29 in conjunction with Section 32, Section 33, Section 35, Section 36, Section 41, Section 43, Section 45, Section 46 and Section 47 of the Constitution of the Kingdom of Thailand so permit by virtue of law, the National Broadcasting and Telecommunications Commission hereby prescribes licensing criteria and procedures for providing radio or television broadcasting facility services as follows:

Clause 1 This Notification shall be called "Notification of the National Broadcasting and Telecommunications Commission on Licensing Criteria and Procedures for Providing Radio or Television Broadcasting Facility Services B.E. 2555 (2012)"

Clause 2 This Notification shall come into force as from the day following the date of its publication in the Government Gazette.

Clause 3 Any notifications, regulations, rules or any other ordinances having been prescribed in, or contrary or contradictory to this Notification shall be superseded by this Notification.

Clause 4 In this Notification:

"Radio or television broadcasting facilities" means infrastructure or facilities for the radio or television broadcasting services such as land, building, construction, pole, cable, antenna, pipe or any other objects as prescribed by the Commission;

"Radio or television broadcasting facility services" means a provision of infrastructure or facilities for the radio or television broadcasting services such as land, building, construction, pole, cable, antenna, pipe or any other objects as prescribed by the Commission;

"Radio or television broadcasting services" means a radio or television business for any transmission of public information or programs to receiver that is able to view or listen to the content through any of radio frequency, wire, optical, electromagnetic waves, or other means, or a combination thereof, or the provision of similar services prescribed by the Commission as radio or television broadcasting business;

"Applicant" means a person applying for a radio or television broadcasting business license under this Notification;

"Revenue" means income received from the provision of services of a licensee or any other income that is related to the services provided by the licensee;

"Commission" means the Broadcasting Commission;

"Office of the NBTC" means the Office of the National Broadcasting and Telecommunications Commission;

"Competent official" means an official appointed by the Commission to undertake duties under this Notification.

CHAPTER I

Criteria and Procedures for Licensing Application

Clause 5 Any person who intends to provide facility services shall obtain a radio or television broadcasting business license for radio or television broadcasting facility services from the Commission in accordance with the criteria and procedures prescribed in this Notification.

A licensee operating radio or television broadcasting business with its own radio or television broadcasting facilities who intends to provide such facilities to other operators shall submit its application to the Commission in accordance with the forms set out in the

annex of this Notification. Upon receiving the request, the Commission shall grant an additional license for the radio or television facility services under this Notification.

Clause 6 Qualifications of the applicant:

In addition to having the qualifications and not being under any prohibitions as prescribed under Section 8, Section 11, Section 12, Section 13, Section 14 and Section 15 of the Broadcasting Business Act B.E. 2551 (2008), the applicant shall have the qualifications and shall not possess the prohibited characteristics as follows:

- (1) the applicant shall not be a bankrupt or under receivership by a court order or be placed under business rehabilitation by a court order under the bankruptcy law;
- (2) the directors or persons in authority of the applicant shall not be a person listed by the Securities Exchange of Thailand as a person not suitable for holding a management position under the rule of the Securities Exchange of Thailand;
- (3) the applicant, directors, managers or persons in authority of the applicant shall not be a person sentenced by a final judgment for a criminal offence committed under the law on broadcasting business, the law on radiocommunications, the law on telecommunications business, the law on narcotic drugs, predicate offenses under the anti-money laundering law, offenses under the law on placing of bids to state agencies and offenses under the organic law on anti-corruption within two years before the date of application.
- **Clause 7** Any person who wishes to provide radio or television broadcasting facility service under this Notification shall apply for a license from the Commission.
- (1) Submit an application for the license according to the forms prescribed by the Commission as attached to this Notification. The application must clearly identify the category and coverage areas of services;
- (2) Submit completed application forms and relevant documents or necessary information for the licensing according to Clause 8 together with one each of hard copy and electronic copy. Submission may be made in person or by reply registered mail to the Office of the NBTC:
- (3) The applicant shall pay for application processing fee as set out in Annex A on the submission date or as prescribed by the Commission.
- If it is deemed appropriate to change the licensing application criteria and procedures relating to certain categories of radio or television broadcasting facility services, for the purposes of supervision or any other public interest, the Commission may do so and make announcement in advance.

Clause 8 Relevant documents and information necessary for licensing application are as follows.

The applicant shall submit the relevant documents and information necessary for consideration of the Commission. At a minimum, the following information must be furnished:

(1) Credentials of the applicant

- (1.1) A copy of corporate registration certificate, memorandum of association and corporate regulations,
- (1.2) A copy of national identification card and household registration of the person in authority of the corporate,
- (1.3) In the case of giving another person the authority to act on the applicant's behalf: power of attorney together with a copy of national identification card; a copy of household registration of both the applicant and his authorized person,
 - (2) Information on management and administration
 - (2.1) Organization chart,
- (2.2) Corporate profile according to Annex B which at a minimum shall consist of the following information:
- (2.2.1) A name list, residential address and nationality of the person in authority of the applicant and of the board of directors,
- (2.2.2) A name list, residential address and nationality of the investors or holders of equity shares, shareholding proportion of the applicant, voting rights of the investors or holders of equity shares under the law or rules or agreement of the applicant,

(3) Facilities information

Information according to Annex C shall be submitted and at a minimum shall consist of the following items:

- (3.1) Categories or types of radio or television broadcasting facilities to be provided,
- (3.2) Characteristics of the radio or television broadcasting facilities including the location of such the facilities,
- (3.3) Chart featuring details of directions and boundaries of the radio or television broadcasting facilities,
 - (4) Technical information to inform the users of facilities available (if any)
- (5) Financial status audited by a certified public accountant and information on previous services consistent with the financial statements
 - (6) Investment plan and funding plan
 - (7) Forecasts for future financial status

(8) Any other information as specified by the Commission

Clause 9 Licensing procedures are as follows:

- (1) The competent official or the person appointed by the Commission examines the accuracy and completeness of the filled application forms and relevant documents required for the consideration within fifteen working days from the date of receiving the application. An extension of time may be done for no more than seven working days;
- (2) If it appears that the application forms and relevant documents required for the consideration are inaccurate or incomplete or inadequate, the competent official or the person appointed by the Commission shall notify the applicant to correct the application forms or submit additional necessary documents within fifteen working days, and shall not consider forwarding to the Commission unless the application forms have been corrected or necessary documents are sufficient for consideration;
- (3) If the requirements according to (1) or (2) are correct and complete, the competent official or the person appointed by the Commission shall conduct a review in order to prepare an analysis report on the appropriateness of the radio or television broadcasting facility business and draft a license including conditions which shall be submitted to the Commission for consideration within sixty working days. A request for an extension of time may be submitted to the Commission in advance before due date. Each time extension must not exceed fifteen days and not more than twice. At a minimum, the following factors shall be taken into consideration:
 - (3.1) objectives of the business,
 - (3.2) management competencies,
- (3.3) abilities to install radio or television facilities including any other undertaking in accordance with the proposed plan,

The competent official or the person appointed by the Commission shall propose recommendations to the Commission within a reasonable timeframe but no more than fifteen days after the duration specified in (3) paragraph one has lapsed;

- (4) Upon obtaining recommendations from the competent official or the person appointed by the Commission, the Commission shall consider the application within thirty working days with due regard to the factors in (3). The Office of the NBTC shall inform the applicant of the Commission's decision in writing and publicly disclose the information and results of the consideration through the Office of the NBTC's information network system within fifteen working days;
- (5) In the case where the Commission deems it appropriate to instruct the applicant to revise its proposals, the applicant shall submit the revised proposals within thirty days after the date of having been notified by the Office of the NBTC, or as specified by the Commission, for the Commission's reconsideration;

(6) When the Commission has granted a license under this Notification, it shall be deemed that the applicant has been granted a license to have and use radiocommunication equipment and set up a radiocommunication station under the law on radiocommunications. The permit however is restricted only to the radiocommunication equipment as specified in the application forms. The applicant shall pay for license fees and other expenses at the rates prescribed by the Commission within fifteen days as from the date of receiving the notice.

Clause 10 The person granted a telecommunications business license or the person granted a permission, concession or contract as the operator of telecommunications business according to Section 80 of the Telecommunications Business Act B.E. 2544 (2001) shall be entitled to submit an application for license to provide radio or television broadcasting facilities under this Notification.

The licensee under paragraph one shall be liable for business fees payment at a rate of two percent of revenues received from the business permitted under this Notification before deducting all expenses or as prescribed by the Commission.

CHAPTER 2

Rights and Duties of Licensees

Clause 11 Licensees who are permitted to provide radio or television broadcasting facilities who intend to build or procure such facilities for use in the services provision shall comply with the criteria, conditions and procedures set forth by the Commission concerning the rights to setting up or erecting poles, wiring, placing ducts, or installing associated equipment for the radio or television broadcasting services.

Clause 12 Scope and conditions of licensing:

- (1) The license is restricted right of the licensee and shall not be transferable. The licensee must undertake the business by itself and is neither allowed to authorize administrative power, either wholly or partially, to other persons, nor to permit other persons to operate the business on its behalf. Transferring the right either in whole or in part to other persons that causes an impact on the licensed business undertaking is prohibited;
- (2)Licensee shall have all qualifications as prescribed in Clause 6 during the term of the license;
- (3) Licensee shall start laying the infrastructure or facilities for providing radio or television broadcasting services under the license within two years after the date of obtaining the license unless otherwise prescribed by the Commission;

- (4) Licensee shall be able to provide infrastructure or facilities for radio or television broadcasting services over the period permitted by the Commission. In the case where the licensee wishes to terminate the services before the expiry of the license, the licensee is obliged to notify the Commission in advance for its approval by explaining reasons for closing the business together with measures for remedying the service users. The licensee must act in accordance with conditions set out by the Commission before closing the business;
- (5) Licensee shall be liable for paying annual license fee at a rate of two percent of revenues before deducting expenses and have the duty to valuate the amount of such fee and prepare details of the calculation to the Office of the NBTC. If such fee was not paid within a specified time, the licensee shall be liable for paying additional fee at a rate set out by the Commission. Otherwise it shall be deemed that the applicant gives up its right to apply for a license.

In the case where the licensee fails to pay in full within fifteen days after the due date, the license shall be void as from the day following the date due. The licensee shall act in accordance with the conditions set forth by the Commission before business closure.

For the purpose of the annual license fee calculation, the licensee shall have duty to submit its annual financial statements audited by certified public accountant to the Commission which clearly separate revenues and costs by types of licensed services, or other information necessary for the consideration of license fee setting to be determined by the Commission;

- (6)Licensee shall have the duty to allot an annual funding support to the Broadcasting and Telecommunications Businesses Research and Development Fund for Public Interest at a rate of two percent of revenues before deducting expenses or as set out by the National Broadcasting and Telecommunications Commission;
- (7) Licensee shall provide facilities only to those radio or television broadcasting operators who have been licensed by the Commission;
- (8)Licensee shall provide facilities for other licensees on an equitable basis and shall not be discriminating or restricting free and fair competition;
- (9) In undertaking the licensed business, licensee shall prepare proposals for the use of facilities and disclose to the public;
- (10) In the case where there is disaster or emergency or necessity for public interest, licensee shall have the duty to broadcast, inform or warn the public upon request by the Government and relevant state agencies, or as prescribed by the Commission;
- (11) In the case where a licensee has undertaken a merger or become a trading partner with other licensee or entered into an agreement granting the other party the power to

control, direct or act on its behalf or to have access to trade information of other licensee, prior approval from the Commission is required;

In the case where the Commission considered that the act according to paragraph one has resulted in or may lead to restrictions of fair competition in the market, the Commission may set forth specific measures to be complied by the licensee;

- (12)Licensee shall comply with the law on trade competition and shall not take any action deemed by the Commission that such action has an aim or significant impact or may have impact on fair competition in the market in the supply of facilities and radio or television broadcasting services licensed to the licensee or radio or television broadcasting devices of other persons including the following cases.
- (12.1) Making a contract or entering into any other agreement in a collusive manner, or cooperating with other persons that causes effect on competitiveness of other licensees and consumers,
- (12.2) Making a contract or entering into an agreement with any other persons relating to service charges or fees or radio or television broadcasting devices in the manner of destroying, restricting, impeding or obstructing fair competition or obstructing the new entrants to the market.
- (12.3) Colluding not to sell or provide services to other licensees or other persons who are not their own subsidiaries or affiliates,
- (12.4) Taking action alone or with other parties that results or may result in preventing competitors from an access to or having a channel for distribution of products or services competitive with those of the licensee or of the person or corporate who is its shareholder,
- (12.5) Making contract or entering into an agreement with other radio or television broadcasting operators or providers of radio or television broadcasting devices to divide market shares by fixing geographical areas or any other means that can be considered by the Commission as a collusive market sharing or unlawful market organization,
- (12.6) Unjustly giving privileges or taking unfair advantages from affiliate companies or any discriminatory practices that have impacts on fair competition in the market if the Commission considers that other licensees may fall under serious disadvantage at the competition, or that it would cause significant reduction in competition, or that it would result in the restriction of market entry of new operators,
- (12.7) Any other act that results in service subsidization, cross subsidization, holding business of the same category, abuse of market power, market dumping, price cutting lower than its costs, price setting at a rate that restricts competitiveness of other licensee or price discrimination,

- (13)Licensee shall disclose information on the utilization of necessary facilities to the Commission on a quarterly basis;
- (14)Licensee shall adhere to the regulations, rules, notifications, ordinances or measures prescribed by the Commission with regard to the following matters:
 - (14.1) Free and fair competition,
 - (14.2) Use of radio or television broadcasting resources,
- (14.3) Interconnection and access of the radio or television broadcasting business,
- (14.4) Exercise of right to setting up or erecting poles, wiring, placing ducts, or installing equipment for the radio or television broadcasting services,
 - (14.5) Consumers protection,
 - (14.6) Action in case of emergency or disaster,
 - (14.7) Fees and service charges,
 - (14.8) Dispute resolution,
- (14.9) Criteria or any other measures as further set forth by the Commission.
 - (14.10) Compliance with other related laws,
- (15)Licensee shall act in accordance with the criteria or any other measures relating to competition as further prescribed by the Commission;
- (16)Licensee shall submit its performance report on the licensed business to the Commission in accordance with the forms set out by the Commission every year within thirty days after the date due for annual fee payment;
- (17)Licensee shall carry out maintenance, service and improvement of the radio or television broadcasting facilities in order to provide efficient and sustainable services over the licensed period;
- (18)Licensee located in aviation safety zone who intends to install or change the antenna's height of radio station shall seek approval from responsible agency under the law on aviation prior to submitting an application for establishing a radiocommunication station with the Commission:
- (19) Erecting or constructing an antenna tower shall be under the law on building control;
- (20) Licensee shall strictly comply with the conditions set out in the license by the Commission.

Clause 13 In case of important circumstances or necessity for protecting public interest or change in legislation or circumstance, the Commission shall have the power to amend the scope and conditions of the license as necessary.

Clause 14 Licensee shall not suspend or end the provision of services, either in whole or in part, unless permission has been granted by the Commission.

Clause 15 Term of the license:

A radio or television broadcasting facilities license shall have a term not exceeding fifteen years as from the date of granting.

Clause 16 Submission of a renewal application:

In the case of an expiry of the license under Clause 15, if the licensee intends to continue the radio or television broadcasting facility business, the licensee shall submit a new application with the Commission in accordance with the following criteria, procedures and conditions.

- (1) Licensee shall submit an application at least ninety days but not more than two years prior to the existing license's expiry, together with relevant documents or information necessary for licensing as set forth in Clause 8;
- (2) An applicant for license renewal shall have qualifications as prescribed in Clause 6 and never been considered by the Commission that the applicant failed to comply with the conditions set out in the radio or television broadcasting business license;
- (3) The provision in Clause 9 shall apply *mutatis mutandis* to the procedures for consideration of the Commission. A final consideration shall be made by the Commission within sixty days after the date of receiving the application;
- (4) During the time the renewal application is being considered according to (3), the licensee may continue its services until the Commission issues a refusal order. In such case, the applicant for license renewal shall be liable for paying proportional fee for the operations conducted;
- (5) The term of the renewed license may be varied from the previous license which shall be determined by the Commission.

CHAPTER 3

Supervision and Regulation

Clause 17 Licensing expiry: apart from termination of a license according to Clause 15, the radio or television broadcasting facilities license may be void for the following reasons.

- (1)Licensee has notified its termination of business and complied with the conditions set forth by the Commission prior to the business closure;
- (2) The Commission revoked the license due to a failure to comply with the conditions set out in the criteria prescribed by the Commission.

Clause 18 Where it appears to the competent official that the licensee violates or fails to comply with the licensing conditions set out under this Notification or criteria prescribed by the Commission, the competent official shall have the power to issue a written warning or order the licensee to refrain from violating act, rectify, improve, or act in correct or appropriate manner within timeframe prescribed including the power to calling any person to give statements or to furnish documents or any evidences for consideration.

Clause 19 If the licensee who received the competent official's order according to Clause 18 is not satisfied with such order, the licensee has the right to appeal the order with the Commission within fifteen days after the date of receiving the order. The decision of the Commission shall be final.

In the case where the licensee continues neglecting to act correctly or if it is the case of serious damage to the public interests, the Commission shall have the power to suspend or revoke the license. Each suspension must not be longer than one month.

The law on administrative procedure shall apply *mutatis mutandis* to the implementation under paragraph two.

Clause 20 The licensee shall have the duty to arrange remedial measures for the impact that may cause to the service users following the licensing termination under this Notification and shall include the case where the services are either fully or partially suspended or stopped.

Transitory Provisions

Clause 21 The radio or television broadcasting business operators, who have lawfully provided radio or television broadcasting facility services when this Notification comes into force, who wish to continue their service provision, shall file an application for a license in accordance with the criteria prescribed in this Notification within sixty days from the date this Notification takes effect. Upon submitting the application for a license with the

Commission, the said operators shall have the right to continue the services until the Commission notifies them of the decision not to grant a license.

Clause 22 The persons licensed to operate the telecommunications business license or the persons granted a permission, concession or contract as the telecommunications business operators under Section 80 of the Telecommunications Business Act B.E. 2544 (2001), who have lawfully provided radio or television broadcasting facility services when this Notification comes into force, who wish to continue their service provision, shall file an application for a license in accordance with the criteria prescribed in this Notification within sixty days from the date this Notification takes effect. Upon submitting the application for a license with the Commission, the said operators shall have the right to continue the services until the Commission notifies them of the decision not to grant a license.

Announced on the 5th day of October B.E. 2555 (2012)

Colonel Natee Sukonrat

Chairman of the Broadcasting Commission

For Chairman of the National Broadcasting and Telecommunications Commission

Annex A

Application Processing Fee

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Types of Business	Fee			
Facility provider				
Local level	5,000 Baht			
Regional level	50,000 Baht			
National level	250,000 Baht			
Community service (community radio)	2,000 Baht			
Producing a duplicate copy of license	1,000 Baht			
Photocopying a license	500 Baht			

Annex B

Corporate Profile

Corporate Profile contains details as follows:

- 1. Shareholding structure, name list, address and nationality and shareholding proportion of the applicant.
- 2. Details of the executives and person(s) having controlling power of the applicant:
- 2.1 Fill in details of the executives or of the authorized person of the applicant and of the directors in the table below:

Name-surname/	Shareholding	Family relationships among executives (c)	Work experience in the past 5 years					
position (a)	proportion (b)		Periods	Position	Name of organization/ company/ type of business (d)			

<u>Remark:</u> (a) for the directors, please specify the categories of directors, for example, director in authority of the company as set out in the letter of certification,

- (b) include shares of spouse and child who is a minor,
- (c) identify family relationship among the executives. Family relationship means relatives by blood, marriage and legal registration. Please specify only connection in order of father, mother, spouse, child, sibling, uncle, aunt, including spouse and child of these persons,
 - (d) specify specific experience related with radio or television business.
- 2.2 Fill in details of each investor or equity shareholder and shareholding proportion of the applicant, and voting rights of each investor or equity shareholder in the table below:

Nan	ne of Shareholder	Shareholdin			
Name/surname	Address	Nationality	Number of shares	%	Voting Right

Annex C

Facilities Information

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1.	Categories or types of radio or television broadcasting facilities on offer								
2	Characteristics and location of making antaloguisian broadcasting facilities								
2.	Characteristics and location of radio or television broadcasting facilities								
3.	Diagram showing location, details of direction and boundary of radio or television broadcasting facilities								

(Sample of Facilities Information Form)

Annex C

Facilities Information

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Use of facilities for providing radio or television broadcasting services must contain the following details:

- 1.1 Facilities owned by the applicant:
 - 1.1.1 identify category or type of radio or television broadcasting facilities on offer,
 - 1.1.2 give details of technical data by category or type of radio or television broadcasting facilities on offer as stated in 1.1.1,
 - 1.1.3 identify geographic coordinates (latitude and longitude with 6 decimal points) and details of the location of the facilities on offer such as location of building, floor, room, house address, sub-district, district, province and country, etc.,
 - 1.1.4 identify coverage area intended to provide radio or television broadcasting facilities (if any),
 - 1.1.5 demonstrate characteristics of radio or television broadcasting facilities on offer such as photographs, blueprints, etc.,
 - 1.1.6 diagrams showing details of direction and boundary of radio or television broadcasting facilities on offer.
- 1.2 Facilities owned by other person:
 - 1.2.1 identify name of the owner of facilities used for providing radio or television broadcasting services,
 - 1.2.2 submit a copy of the radio or television broadcasting business license for the radio or television facility services of the person under 1.2.1
- 1.3 Facilities owned by the applicant and by other person:
 - 1.3.1 for facilities owned by the applicant, give same details as 1.1,
 - 1.3.2 for facilities owned by other person, give same details as 1.2



Application Form For Radio or Television Broadcasting Business License For Providing Radio or Television Broadcasting Facility Services

Document No.					
Form Por Sor 03					
Page 1 of 6					
Reference No					
Date of Receipt					
•••••					

Application for License

[] New application
[] Additional application
[] Original license No
[] Renewal application
[] Original license No Expiry date:
Section 1 Applicant's information (Please supply complete details)
1.1 Corporate name
Homepage
1.2 Current address/ contact details Address NoLane/alley
RoadSub-district
DistrictProvincePostal code
TelFax:E-mail:
1.3 Authorized person's name (1st person)
PositionTel:
Fax:E-mail:
1.4 Authorized person's name (2 nd person)
PositionTel:
Fax:E-mail:
1.5 Authorized person's name (3 rd person)
PositionTel:
Fax:E-mail:
1.6 Contact person's name
PositionTel:
Fax:E-mail:



Application Form For Radio or Television Broadcasting Business License For Providing Radio or Television Broadcasting Facility Services

2.1 Clearly specify areas intended to provide services

Document No.						
Form Por Sor 03						
Page 2 of 6						
Reference No						
Date of Receipt						
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Section 2 Details of radio or television broadcasting facility services (Please supply complete details)

2.2 Type of radio or television broadcasting facilities
[] land
[] building
[] construction
[] pole
[] line system
[] antenna
[] duct
[] other, specify
Section 3 Supporting documents
3.1 Corporate credentials
[] Copies of corporate registration certificate, memorandum of association and corporate regulations
[] Copies of ID card and household registration of person in authority
[] Power of attorney together with both the authorizing and the authorized person's copies of identification card and household registration (for the case of authorization)
[] Other, specify
3.2 Management and administration
[] Organization chart
[] Corporate profile:
[] Name, address and nationality of licensee's and directors' person in authority
[] Name, address and nationality of each investor or equity shareholder; applicant's shareholding proportion; voting right of each investor or equity shareholder under the law or rules or agreement of the applicant
[] Other, specify



Application Form For Radio or Television Broadcasting Business License For Providing Radio or Television Broadcasting Facility Services

Document No.						
Form Por Sor 03						
Page 3 of 6						

Reference No
Date of Receipt
•••••

3.3	Information	on	financial	status	audited	by	certified	public	accountant	and	information
on	past services	in a	ccordanc	e with	the finar	ıcia	l stateme	nts			

[...] For corporate established for one year or above:

- [...] Financial statements audited by certified public accountant since the corporate was set up but not more than 5 years:
 - [...] Balance sheet
 - [...] Income statement
 - [...] Cash flow statement plus financial ratio calculated from the statement
- [...] Report on service provision of the applicant since the corporate was set up but not more than 5 years:
 - [...] Revenue structure by categories of services, at a minimum, showing items by each category of revenues received from the radio or television broadcasting business/donation/ subsidized funds
 - [...] Service charge per unit for each category of service that is the source of revenues from the radio or television broadcasting business
 - [...] Number of units for each category of service that is the source of revenues from the radio or television broadcasting business
 - [...] Service costs for each category of service that is the source of revenues from the radio or television broadcasting business
 - [...] Analysis results or opinions useful for assessing financial status

[...] For corporate established for less than one year:

- [...] Financial statements (if any)
- [...] Performance of major shareholders of the corporate since the corporate was set up but not more than 3 years (if any)
- [...] Financial strengths of the corporate:
 - [...] Details of credits received from financial institution(s)
 - [...] Bank statements to confirm deposits with the financial institution
 - [...] Other useful information

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Application Form For Radio or Television Broadcasting Business License For Providing Radio or Television Broadcasting Facility Services

Page 4 of 6

Reference No......

Date of Receipt

3.4 Service plan, investment	plan and estimated	future financial status
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[...] Service plan: [...] Objectives of services [...] Service coverage area [...] Quality of service provision [...] Market policy or competition [...] Service development plan [...] Consumers protection or complaint handling guidance [...] other, specify..... [...] Investment plan and funding plan: [...] Funding source [...] Competencies of the executives [...] Hypothesis in formulating detailed investment plan and funding plan in consistence with estimated future service costs over the term of a license [...] Risk factors that may effect the investment plan and funding plan [...] Licensee's business development plan [...] other, specify..... [...] Estimated financial status in the next 5 years: [...] Estimated revenues by categories of services, at a minimum, showing items by each category of revenues received from the radio or television broadcasting business/ donation/ subsidized funds [...] Estimated service charge per unit for each category of service that is the source of revenues from the radio or television broadcasting business [...] Estimated number of units for each category of service that is the source of revenues from the radio or television broadcasting business [...] Estimated service costs for each category of service that is the source of revenues from the radio or television broadcasting business [...] Estimated cash flow statement or financial status together with hypothesis, financial ratio, investment term and other useful information [...] other, specify.....



Application Form For Radio or Television Broadcasting Business License For Providing Radio or Television Broadcasting Facility Services

Document No.					
Form Por Sor 03					
Page 5 of 6					
- C NI -					

Reference No				
Date of Receipt				

Section 4 Certification of the applicant

- 4.1 I hereby certify that I have all the required qualifications and that all the information and accompanying documentary evidences submitted for consideration are correct, complete and true.
- 4.2 I agree to have my license terminated or revoked by the Commission immediately if it is subsequently found that any of said qualifications are lacking or any documentary evidences are falsified.
- 4.3 I agree to oblige to criteria or any terms and conditions related to the allotment of revenues derived from the supply of services to the Broadcasting and Telecommunications Research and Development Fund for Public Interests.
- 4.4 I have read and understood all the criteria under the Notification of the National Broadcasting and Telecommunications Commission on Licensing Criteria and Procedures for Providing Radio or Television Broadcasting Facility Services and agreed to oblige unconditionally.

Signatureperson in authority of corporate (1 st person)					
()					
Day/Month/Year					
Signatureperson in authority of corporate (2 nd person)					
()					
Day/Month/Year					
Signatureperson in authority of corporate (3 rd person)					
()					
Day/Month/Year					



Application Form For Radio or Television Broadcasting Business License For Providing Radio or Television Broadcasting Facility Services

Document No.	
Form Por Sor 03	
Page 6 of 6	
Reference No	
Date of Receipt	

Official Use

Application:							
[] Complete	[] Incompl	ete					
Required supporting documents							
[] Complete	[] Incompl	ete					
Examining official's comments							
Receiving date:		Examining Official:					
Assignment memo			Note				

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